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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 027756-010

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In re patent application of

Arthur Willard Chaffee

Group Art Unit: Unassigned

Serial No. 09/410,825

Examiner: Unassigned

Filed: October 1, 1999

For: INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

Assistant Commissioner:

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1. Information Disclosure Statement
2. Form PTO 1449
3. Postcard

Respectfully submitted,

Jan. 7, 2000
Date

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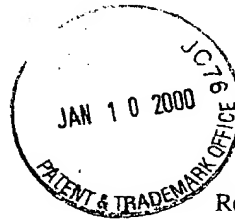
RECEIPT IS HEREBY ACKNOWLEDGED OF THE FOLLOWING:

Applicant: Arthur Willard Chaffee
Serial No.: 09/410,825
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